Knowledge Maps of the UDC:
Uses and Use Cases [†]

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Scharnhorst, Andrea, Richard P. Smiraglia, Christophe Guéret and Alkim Almila Akdag Salah. 2016. "Knowledge Maps of the UDC: Uses
 and Use Cases." *Knowledge Organization* 43(8): 641-654. 27 references.

43 Abstract: Insight into the depth and breadth of knowledge for use in and across disciplines is of vital importance. Our knowledge maps 44 are visualizations based on empirical evidence about both collection characteristics and knowledge clusters such as disciplines. We report 45 in this paper on collaborative efforts over several years, combining the resources of the Knowledge Space Lab and the Research and In-46 novation Group at DANS. In particular, we were interested in the narrative of how knowledge and knowledge systems change over time. 1 Knowledge organization systems are evolving complex systems. Their analysis, both concerning inner structure, evolution over time, and 2 their implementation in information spaces is important to better understand how knowledge is produced and can be navigated through.

We applied a mixed research method strategy to the analysis of the Universal Decimal Classification (UDC), combining web-based data collection with data and visual analyses. The growth of the UDC over the twentieth century parallels the evolution of knowledge in the academic canon. Rather than reconstruct main classes with potentially catastrophic revisions, the editors of the UDC preferred complex and ever more granular evolution of special auxiliaries. In evaluating the population of the UDC, we have seen even more evidence of the cultural evolution of knowledge across time. While this approach to research is important for knowledge organization as such, it also bears potential for information providers to use visualizations to showcase their collections.

9 † Originally created for: International UDC Seminar "Classification & Authority Control: Expanding Resource Discovery," in Lisbon,
10 Portugal, 29-30 October 2015. The authors wish to thank Ed O'Neill of the OCLC Office of Research, Johan Rademakers and Bart
Peeters of KU Leuven, and Maria Inês Cordeiro of the National Library of Portugal for UDC data analyzed for this research. Part of
12 this work has been supported by the COST Action TD1210 Knowescape. We are indebted to Aïda Slavic for her professional and practical support throughout this research process.

- 14 Received: 2 April 2016; Revised: 23 August 2016; Accepted: 23 August 2016
- 15 Keywords: UDC, Wikipedia, classification, knowledge organization systems, evolution of ontologies

16 1.0 Insight into knowledge for use

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18 Visuals are all around us and visual analytics is now embraced not only for business purposes but also as a re-19 20 search method applied across all sciences, including the humanities. Concerning the latter, the work of Lev Mano-21 22 vich and others is an example of how big data analytics, visual analytics, and art history meet in what has been 23 24 termed "cultural analytics" (Salah, Manovich, and Crow 25 2013). But, when it comes to libraries and archives, those guardians for cultural heritage and public access to knowl-26 edge that long since have joined the world of automatically 27 processed information, applications of visual analytics are 28 rather sparse. Having said this, we also should say that the 29 30 world of libraries has not been untouched by the metric wave. In the literature, we find indicator sets about the per-31 formance of libraries (Heaney 2009). Among them are 32 some-like the size of the collection-which are also rele-33 34 vant in the light of our own analysis. However, our pur-35 pose is not primarily to analyze the institutional functions of the library. We are interested in providing insight into 36 the depth and breadth of knowledge for use in and across 37 38 disciplines. In other words, we want to know what kind of 39 knowledge we find in a library or archive, and we use knowledge organization systems developed to create struc-40 ture in and access to the content of collections to gain an 41 overview about knowledge in them. 42

Our method is to produce visualizations from baseline
statistics. These knowledge maps are based on empirical
evidence about collection characteristics on the library
and archives side, and knowledge clusters such as disciplines, for example, on the other.

48 When looking at knowledge organization systems 49 (KOSs) as applied in and populated by collections, we can-

not avoid also looking at the KO systems themselves. Con-50 51 trary to naïve beliefs, classifications are not fixed, they 52 evolve with the needs for which they have been developed 53 and with the changing insights in the content for which 54 they have been developed. For example, consider how Linneaus' system for classifying the biological species has 55 56 developed since its inception (Ereshefsky 2001). The same sort of evolution takes place when it comes to ordering 57 58 knowledge, as the history of scientific classification shows 59 (Kedrov 1975-76). There, it holds that changes in classifi-60 cation are always as much as triggered by changes in the 61 objects to be classified as in the changes of points of view of those who classify them. According to Bowker and Star 62 (1999), the authoritative voice of classifications reflects the 63 64 Zeitgeist. Classifications are a kind of social product, but 65 because of their authority, which is amplified in impact 66 when used for machine-based large-scale information processing operations, it is important to analyse changes. 67 68 The analysis of changes should be presented both in detail 69 and at a meta-level (e.g., Börner 2010 and 2015). Here, our 70 work meets the few explorations into the evolution of 71 KOSs from classification research (Tennis 2012; Tennis, 72 Thornton and Filer 2012) and the relatively uncoupled par-73 allel investigations into the evolution of ontologies (Noy 74 and Klein 2004; Meroño-Peñuela 2016).

75 Thus, there is need for interdisciplinary work in this area. We report in this paper on collaborative efforts over 76 77 several years, supported by different project grants. Our 78 own team consists of computer scientists, physicists, in-79 formation scientists, and humanists. Our research into 80 the population of the Universal Decimal Classification (UDC) has generated immense quantities of specific data 81 82 about particular classification attributions to and among 83 particular and specific documents. Our goal is to learn 1 how to create knowledge maps of these data. Knowledge

2 maps, like geographic maps, serve different functions.

3 Knowledge maps can serve as awareness catalysts, refer-

4 ence systems, data curation vehicles, and heuristic devices5 for research (Scharnhorst 2015).

6 In particular, we are interested in the narrative of how 7 knowledge and knowledge systems change over time. We 8 all are accustomed to the notion that things change, and we work hard to keep up with changes. This takes place in a 9 10 forward direction. In the science of knowledge organization, it is important also to analyze the accretion of change 11 over time in reverse. In other words, it is important not 12 only to be able to make a map of knowledge today, but 13 also to make maps of knowledge over time leading up to 14 today. This is particularly challenging since both the coor-15 dination system that hosts the knowledge system and the 16 classification and production of knowledge change at the 17 same time. One of our goals is to make it possible to tran-18 sit both forward and back through knowledge evolution. In this paper, we will describe one approach to such visualiza-20 tion based on the analysis of the evolution of the UDC. 21

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1.1 The notion of a reference classification

Our story began with the Knowledge Space Lab (KSL), a 25 research group within the Virtual Knowledge Studio of the 26 Royal Netherlands Academy of the Arts and Sciences. 27 28 KSL was a project begun in 2009 with the goal of creating a knowledge map of the evolution of science by tracking 29 the evolution of knowledge in the then emergent Wikipe-30 31 dia. KSL downloaded the latest dump of the English Wikipedia that was available at that time (https://archive. 32 org/details/enwiki-20080103). Wikipedia continues to 33 publish data dumps, which include whole histories of 34 35 every Wikipedia page. Not surprisingly, those dumps are 36 growing in size. In the time of the KSL project, an alliance with powerful computer centers was needed to store and 37 process the Wikipedia data. This is why we applied for a 39 grant from BigGrid.nl that gave access to high computing. Thus the Wikipedia project was one of the few humanities 40 projects that made use of grid computing. KSL extracted 41 all changes of links in Wikipedia pages. The team was in-42 terested in the growth and change of the topical classifica-43 tion, and to this purpose extracted all changes of links be-44 tween category pages and article pages. Similar to the col-45 lective editing of any page in Wikipedia (be it a category 46 page, an article page, or another page type), the relation-47 ships between categories are debated. There exists one 48 page in Wikipedia (2015) that demonstrates this (https:// 49 50 en.wikipedia.org/wiki/Category:Main_topic_classifications). Here, at the time of this writing, one finds fourteen sub-51 categories; in 2008, one would have seen forty-three sub-52 categories. However, while the page as such has a history, 53

at any given moment those subcategories listed are dy-54 55 namically created from the present Wikipedia. For exam-56 ple, one might visit the archived 2008 page to see the cate-57 gories present at that time (https://en.wikipedia.org/w/ 58 index.php?title=Category:Main_topic_classifications&diff 59 =240586527&oldid=23865691). This blind spot in Wiki-60 pedia concerning its own memory triggered the recon-61 struction by our team of all link relationships over time. The KSL team at the end provided monthly snapshots for 62 63 both the network of page links and the network of category links, reconstructing the categorical network in 64 65 Wikipedia (Suchecki et al. 2012). We explicitly encourage re-use of the data, and would like to remark that the 66 67 monthly snapshots of the network have never been visual-68 ized nor fully analyzed.

69 The team analyzed the main topical classification where 70 present over time, and how re-ordering of the category 71 system is reflected in changes of the topology of the 72 whole network of links between category and article pages. 73 But, the team also wanted a control for their experiment, 74 and it was decided that a stable bibliographic classification 75 could provide that control. In other words, a bibliographic 76 classification based on literary warrant-i.e., based on concepts found in the published academic canon-could be 77 visualized alongside the Wikipedia to show the parallels 78 79 and divergences in the evolution of knowledge. The Wikipedia was known at that time for rapid growth, if not 80 81 so much for accuracy. On the other hand, bibliographic classifications are known for just the opposite-measured 82 change over time and only once change has taken hold in 83 84 the published literature of the academic canon.

While the Wikipedia data were churning on the grid, the 85 86 KSL team set its sights on the UDC. Quickly, we learned there was no one UDC. Unlike the Dewey Decimal Classifica-87 88 tion, with which the UDC shares common origins, there 89 was not a systematic set of editions published over time containing the whole classification, each edition enshrining 90 91 change at a moment in time. Instead, the UDC has always 92 been maintained as a virtual classification, with its entirety 93 available only to its editorial board (McIlwaine 2007, 1-4). 94 Individual chunks of it have been published in various lan-95 guages at various times, but always with strict limitations 96 on the depth of classes and the extent of granularity of 97 subdivisions. In a sense, our team was given a bold opportunity to discover the entirety of the UDC as best we could 98 and to then map its evolution over time. Our work in this 99 100 vein was reported in several papers (Salah et al. 2012, Smiraglia et al. 2013, Scharnhorst and Smiraglia 2012a) and the 101 102 eventual evolution was mapped alongside the evolution 103 (then) of the Wikipedia in a now famous knowledge map 104 that can be found online (http://scimaps.org/mapdetail/ 105 design vs emergence 127) and as part of the Atlas of 106 Knowledge (Börner 2015).

To understand how this comparison works one has to 1 2 be aware that the Wikipedia category system is a fully con-3 nected graph with cycles, and not a tree from a point as is the UDC as we know it in the form of its Master Refer-4 5 ence File and the classes that can be represented. We emphasize this, because our 2013 paper (Smiraglia 2013) re-6 7 ported the task of reconstructing a network from the 8 UDC, as we will discuss below. But for the comparison presented in the map we applied "brute force" and turned 9 10 the Wikipedia network into a tree, just taking the "Main topic_classifications" page as the root and ignoring all back 11 references from low level nodes to high level nodes. In 12 13 Figure 1, we present the Wikipedia network on the left and the UDC network on the right. The comparison is possible 14 because we use color-coding for matching categories. We started from the UDC, and then allocated the 43 high-level 16 topical categories of Wikipedia in the UDC. This alloca-17 tion also was a complex process, because term comparison 18 gave only one indication. Moreover, terms can also appear 20 at different levels, and eventually, terms can have a different meaning. In some cases, we manually inspected the re-21 lated Wikipedia article pages to decide on the proper 22 23 matching. In the Wikipedia, you see the dynamics of the evolution 24

of early 21st century thought. You see the interest in all
kinds of art phenomena—pop artists, radio stations, films.
In the case of the UDC, you see the concatenation of

more than a century of academic canonization. They are 28 29 not comparable. Rather, they are complementary. The sta-30 ble reference system represented by the UDC is a record of the canonization of the evolution of knowledge over a 31 32 century, and its application in libraries. The evolving system represented by the Wikipedia is a visualization of the dy-33 namism of emergent thought and culture, replicative sci-34 35 ence, paradigm shifts, and all that goes into (eventually) the stability of the canonized reference system. In simple 36 37 terms, UDC reflects the growth of academic knowledge (green represents sciences, and purple stands for arts and 39 entertainment), whereas Wikipedia reflects contemporary cultural interests. This simple visualization is a true map at 40 41 one point in time of (as it says) the emergence of knowledge orders. One has to admit that in the case of the 42 UDC, we looked "only" at the category system, while in 43 44 case of the Wikipedia, we see categories and how they are 45 populated. Also, the Wikipedia in 2008 seems more bal-46 anced in some ways and so has more resemblance to Otlet's original classification. These small imperfections of 47 the "design versus emergence" map motivated us to have a 48 49 closer and deeper look into the UDC.

51 2.0 How the UDC has evolved over time

A primary objective was to create a narrative about theevolution of the UDC from 1905 to the present. Once



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Figure 1. Visualizing Wikipedia and UDC networks.

1 the entire UDC had been digitized and mapped over

2 time, and after the team gained access to the current Mas-

3 ter Reference File, which is kept online, we were able to

4 create detailed visualizations of the growth of classes and

5 auxiliaries over time. Most of the details, including meth-

6 odological steps, are reported in Salah et al. (2012), but a

7 summary here will point to the efficacy of the technique.

8 Our usual starting point is a visualization of the ten main

9 UDC classes comparing first 1905 and 2005 and 2008.

10 The changes between 2005 and 2008 are only incremental11 (Figure 2).

In Figure 2, the doughnut shows the main classes of 12 13 the UDC in 1905 in the inner ring and 2005 in the middle ring and 2008 in the outer ring. The main observations 14 are the decreased size of class "0 Generalities" and the increase in classes "5 Mathematics, Natural Sciences" and 16 "6 Applied Sciences, Medicine, Technology." In 1905, the 17 "0" class would have held mostly multi-volume reference sources; by 2009, the class has become "Science and Knowledge. Computer Science. Information." The im-20 mense granularization and growth of sciences during the 21 twentieth century is reflected in both shifts. We believe 23 also that this is a visualization of literary warrant-that is,

24 UDC is based primarily on the growth of canonical lit-25 erature in academic libraries and it is through that lens

26 that we are able to view the growth of productivity in the

27 sciences in terms of more and more granular literature.

28 Another visualization of increased granularity comes 29 from analysis of the growth of auxiliaries over time. In 30 the UDC, auxiliary schemes are used to express complex-31 ity through a process of synthesis. That is, a symbol from 32 an auxiliary table is appended to a symbol from a main 33 class to express a complex relationship. Common auxilia-34 ries provide a form of facets to express form, time, place, 35 language, ethnicity, etc. Special auxiliaries function in the 36 same way but are limited to specific main classes. For example, main class "2 Religion" has changed little in size 37 38 since the earliest iteration of the UDC, but the entirety 39 of the coverage of religion was reworked predominantly as special auxiliaries to be added to a few main classes. 40 41 Figures 3 and 4 show how auxiliaries have changed over 42 time. For example, Figure 3 shows the changes in class 43 "2" since 1998; in fact, 90.07% of class "2" is comprised 44 of special auxiliaries post-1998. There clearly also is ma-45 jor and continuous evolution of special auxiliaries for 46 class "6 Applied Sciences, Medicine, Technology."

Figure 4 shows the growth in granularity in auxiliary "e" (place names), with some sustained growth also in auxiliaries "c" (persons) and "k" (materials). Figure 4b shows how the common auxiliaries are distributed among the main classes in the Master Reference File; again we see that the sciences predominate, which is a reflection of

Changes in the Main Classes



(a) Distribution of main UDC classes, inner ring 1905, outer ring 1994. (b): Distribution of main UDC classes in 1994 (most inner ring), 1997, 1998, 2005, 2008 and 2009 (most outer ring).

Figure 2. Changes in UDC main classes (Salah et al. 2012, 53).



(a) Distribution of special auxiliaries among the main classes over the years. (b): Percentage of special auxiliaries to main classes, and their changes over time



Figure 3. Change in special auxiliaries in the UDC over time (Salah et al. 2012, 53).

(a) Distribution of common auxiliaries over the years. (b) Changes in the record number of main classes from 1993 to 2009.

Figure 4. Distribution of common auxiliaries and changes in main classes

the growth of those main classes. Changes in auxiliaries
 also reflect an editorial practice which aims to preserve
 UDC numbers in terms of main classes and encapsulates
 changes using the combinatorial power of the UDC as
 provided by auxiliaries.

6 There is another aspect of the UDC that deserves 7 closer inspection. Earlier we mentioned that the network 8 of categories in Wikipedia is far from a tree hierarchy. 9 The classes in the UDC do form such a tree. But the 10 UDC is not designed to pinpoint a concept to a specific

place in an otherwise hierarchical system. Its power is the 11 12 ability to combine simple concepts into more complex and express the interplay of different concepts in a spe-13 14 cific string. The main instruments to do so are the common auxiliary signs and their use in the Master Reference 15 16 File (see Figure 4), which indicates the importance of this 17 element of the UDC considered as a language. This is expressed by the UDC consortium (2016) in the scope 18 19 note: "The level of detail and specificity of UDC cannot be observed based on the hierarchy levels or from the 20

number of UDC classes as most of compound and 1 2 complex subjects are described through combination of 3 simple UDC numbers in the process of indexing." Already, the MRF contains a significant number of com-4 5 pound number and consequently the length of a UDC string is regularly larger than six, the maximum length of 6 a single UDC number. Consequently, the application of 7 8 common auxiliaries turns the UDC tree of classes into a network of concepts (Smiraglia at al. 2013). We will not 9 10 pursue the presentation of this network characteristic in this article, but the analysis of the complex nature the 11 UDC apparent in its design triggered our curiosity about 12 looking into the application of the UDC in the indexing 13 process, or, if you will, looking at the UDC in the wild, 14 15 which is what we now call the population of the UDC. 16

17 **3.0** The population of the UDC

18 This other phase of our research was devoted to attempts to gain a better understanding of the UDC by analyzing 20 actual UDC usage to ascertain the population of the 21 UDC in different environments. That is, we wanted to 22 23 know which parts of the whole UDC were actually populated by the assignment of bibliographic entities. We have 24 developed a way to visualize which elements of the UDC 25 were used and to what extent over time. The original 26 KSL team received a file of nine million UDC numbers 27 28 from the OCLC WorldCat. This would provide a picture 29 of the use of UDC on a global scale, but also we were interested in how it had been used in a particular library. 30 We were able to acquire a complete set of UDC numbers 31 from the online catalog of the library of the Katholische 32 Universiteit Leuven. These were analyzed and that analy-33 34 sis was reported in Smiraglia et al. (2013). In 2015, we re-35 ceived three more data sets, this time from Portuguese 36 sources: the BNP PORBASE "Base Nacional de Dados Bibliográficos," the BNP Catalogo catalog of the Na-37 tional Library of Portugal, and the BND Livre, the National Digital Library of Portugal (Biblioteca Nacional 39 Digital). 40

42 3.1 Datasets

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From the OCLC WorldCat we received in January 2013, a 44 matched set of 9,055,623 OCLC record numbers and 45 UDC strings from USMARC field 080. Removing pairs 46 with blank 080 fields, and those that carried identifiable 47 non-UDC strings left 8,374,040 pairs. Each pair represents 48 a UDC number assigned to a resource represented by the 49 data in the OCLC USMARC record. They are not neces-50 sarily individual resources, as several UDC strings often are 51 assigned to the same resource. We analyzed the UDC 52 strings without regard to the resources to which they were 53

assigned. At the same time, from the LIBIS online catalog 54 55 of the libraries at KU Leuven we received 95,544 local 56 MARC strings in field \$\$8, which typically contains both a UDC string and a text string derived from the UDC 57 58 schedules. In this case, we aggregated unique occurrences, leaving a total of 91,132 UDC strings for analysis. In 59 March 2015, we received datasets of bibliographic records 60 61 from three Portuguese national resources, including assigned UDC strings. The Portuguese sources promised to 62 63 provide various approaches both to verify earlier observations and also to diversify results. A primary consideration 64 65 was that Portuguese libraries use UDC as a form of sub-66 ject indexing (as does KU Leuven but not most libraries 67 contributing to the WorldCat) rather than for shelving. 68 Figure 5, for example, shows a record from PORBASE with multiple UDC strings assigned. 69

70 Thus, we could expect more and more complex UDC 71 strings in the Leuven and Portuguese files than we encoun-72 tered generally in the WorldCat. Also, as results demon-73 strate, sources and dates of publication vary regionally, and 74 we wondered whether that would have any visible effect on 75 the population of the UDC. For all three Portuguese col-76 lections, we received files created using Open Archives Ini-77 tiative Protocol for Metadata Harvesting (OAI-PMH), which yielded XML files containing local records in UNI-78 79 MARC format. We were able to use the MARC field coding to extract specific data. From BNP PORBASE, we re-80 81 ceived 1.1 million records of which 530,412 had coded 82 dates of publication and 349,029 had more than one UDC string. From the BNP Catalogo, we received approximately 83 84 880,000 records of which 338,505 had usable dates of publication and 369,718 had more than one UDC class. 85 From the BND Livre, we received 21,000 records of 86 87 which 2670 had usable dates of publication and 12,437 had 88 more than one UDC string.

89 The three Portuguese datasets are not independent from each other. PORBASE stands for "Base Nacional 90 91 de Dados Bibliográficos." This catalogue was founded in 92 1886, coordinated by the National Library, and has been 93 available to the public online since 1988. PORBASE is 94 not only the collective national online catalog, it is also an organization that has set a number of standards, and to 95 96 be included in it requires an application process. One of the principles is to use the classification via UDC as a 97 means of harmonizing among the different ways works 98 coming from other collections are indexed. The catalog 99 100 of the National Library (BNP Catalogo) allows seamless 101 searching through all of their collections. BND Livre is the National Digital Library of Portugal (thus, Biblioteca 102 103 Nacional Digital). BND allows access to digital and digitized content. At present, it has about 25,000 titles in-104 105 cluding books, periodicals, iconography, cartography, and 106 music and is also a partner with the Europeana digital li-

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Figure 5. PORBASE bibliographic record with multiple UDC strings.

brary, and its content is harvested by the European Library. For this first analysis, we treated them as separate
datasets, but there certainly is an overlap among them,
given that PORBASE is the union catalogue and that
BND is a collection of specific works from the BNP.
A note on how we processed UDC strings is in order.

As noted above, the UDC is unique among bibliographic
classifications in its synthetic flexibility and its hospitality
to faceted expression. That means, one is not forced into
a simple collocating decision about how to assign a text
to a large class. Rather, UDC allows a non-linguistic ex-

12 pression of complex context-dependent content descrip-

13 tors. This feature is one reason the UDC is so amenable to the research reported here-it is not just a device for 14 15 grouping books for browsing, rather it is a sophisticated 16 means of parsing the precise content of a resource at a depth level of indexing and expressing those parsed con-17 cepts in precise strings. We do not put all documents with 18 reference to cats under "cats." Rather, with UDC, we can, 19 20 for example, describe domestic long-haired cats in Dan-21 ish literature for children written in the 20th century.

Earlier, we gave an example of a complex UDC string.In reality, such complex strings are rare, although stringswith four or five distinct components are not unusual.

Main class numbers may be appended to each other with 1 2 a plus sign "316.4+100," a slash "316.4/100," a colon 3 "316.4:100," a double colon "316.4::100," or square brackets "316.4[100]." The meanings are subtly different 4 5 and usage depends on local custom. In some libraries only one technique or the other is employed while in others 6 7 all may be used at once. In general, a plus sign means 8 "and," but a slash, a colon, or brackets mean what is called a phase relation or "A (treated in) B." In particular the 9 10 square brackets introduce a sub-arrangement. 316.4 is the classification for social processes and 100 for philosophy. 11 With the plus sign we have social processes and philoso-12 phy; with the other connectors we have social processes 13 from a philosophical perspective. 316.4[100] would indi-14 15 cate a sub-arrangement of social processes in which philosophy forms a distinct division. Readers now should 16 consider the meaning of the opposite expression to un-17 derstand the unique quality of the UDC. 100+316.4 18 19 would be philosophy and social processes (the question then arises, is the plus sign in UDC commutative?); 20 100:316.4 would mean philosophy from the perspective 21 of social processes. So, in every case, the first symbol 22 23 identifies the primary domain.

The point is, for processing main classes, we counted 24 the first digit in every string and also any first digit after a 25 plus sign, a colon, or a parenthesis. We did not count any 26 that occurred later in strings. Auxiliaries are introduced 27 28 with other symbols, and for analysis of network struc-29 tures within the classification, we constructed matrices of main classes and auxiliaries by counting both the main 30 class and any first numeral after an auxiliary indicator. For 31 example, 15(091) is Psychology (History of). So, in any 32 string with a connector sign, we counted the first symbol 33 in each portion (316.4[100] would get a tick in 3 and 1). 34 And in any string with auxiliaries, we counted the first 35 36 symbol of each portion; (15(091) would get a tick in main class 1 and a tick in auxiliary 09). 37

38 Finally, random samples of each dataset were selected to support another study not described here (see Smi-39 raglia 2013, 2104a, 2014b for sampling details). The sam-40 ples were drawn at 95% confidence with a projected con-41 fidence interval of $\pm 5\%$, which was calculated to require 42 samples of 329 records each. In all cases, 400 records we-43 re drawn into the samples and after deduplication, the 44 samples ranged from 359 to 401 records. In all cases, 45 complete MARC records were identified using the UDC 46 strings and other record identifiers in the original data-47 sets. The study for which these samples were drawn used 48 dates of publication and UDC main class population to 49 demonstrate the accuracy of the samples (all matched the 50 population figures from the earlier stages of research). In 51 the narrative that follows, it is noted when sample data 52 have been used to generate visualizations. 53

4.0 Results

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4.1 Dates of publication

58 We analyzed dates of publication of the works classified in the manner in which a social scientist might gather 59 60 demographic data. That is, by learning about the works 61 classified, we can learn something about how the UDC has been populated. The results were mixed, which was 62 63 interesting. Frequency distributions of the number of works per year of publication for each dataset as repre-64 65 sented in the sample data are shown in Figure 6.

Depending on how the figures are reproduced it might 66 67 be possible to see the details, however, the visual impres-68 sion of large spikes to the right of each figure is important-it shows us that most of the works classified are 69 70 published after about 1970. At first, we thought this was 71 an artifact of the OCLC WorldCat and of retrospective 72 conversion of card catalogs, and indeed the Leuven distribution seemed also to follow this trend. But the Portu-73 74 guese data are quite diverse and caused us to reconsider 75 the situation. In particular, the BNDLivre digital catalog 76 shows almost a flat distribution of dates of publication with odd spikes in 1649 and 1849. It seems that we are 77 78 looking at the distinct collection characteristics of each 79 collection. If we reconsider the spike in the Leuven collection, it seems to correspond to a collection growth 80 81 pattern beginning about 1974. PORBASE, like the 82 WorldCat, because it is a national bibliographic utility, has a flatter distribution with the bulk of the dates following 83 84 1977, which is roughly the same pattern we saw in the WorldCat. On the other hand, the BNP catalog has a 85 86 fairly consistent distribution from 1945 until 1995 and 87 then a spike following, which suggests a good representa-88 tion of works collected by the National Library of Por-89 tugal. One way to ground these results would be to collect collection development statistics from each of these 90 91 libraries and bibliographic utilities, a step we admit we 92 have not yet been able to incorporate.

94 4.2 Distribution of UDC numbers across classes

96 Of course we also are interested in the specific population of the UDC. The first point of analysis is the popu-97 lation of the main classes. Specifically, we ask the data 98 which classes of the UDC have works assigned to them 99 100 and to what extent? In the earliest phase of the study, we compared the UDC strings from the OCLC WorldCat to 101 102 those from the KU Leuven catalog. In the latest phase of 103 the study, we developed visualizations of the populous 104 classes in the three Portuguese datasets. We use both 105 doughnut and spider visualizations to show all these collections in comparison (Figure 7). The visualization in a 106



Figure 6. Date of publication of works classified.

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Distribution of UDC numbers in all datasets, from outer to inner ring: MRF 2008, Leuven, OCLC, Porbase, Catalogo, BNDLivre



Figure 7. Population of the UDC in all datasets.



Figure 8. Population of the UDC all datasets: (a) MRF 2008, (b) OCLC, (c) Leuven, (d) BNDLivre, (e) Porbase, (f) BNP Catalogo.

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1 doughnut gives the relative distribution of the classes, the

2 visualization also shows the size of the different classes,

3 hence the absolute distribution.

The WorldCat distribution is flatter because it repre-4 5 sents mixed contributions from many kinds of institu-6 tions. All main classes occurred, but the largest clusters are social sciences, applied sciences, and literature. The 7 Leuven distribution has applied sciences, social sciences, 8 9 and religion as the largest clusters with smaller clusters in arts, history, and natural sciences; little literature or phi-10 11 losophy. The PORBASE distribution shows mostly social sciences with some smattering of the other classes but 12 almost no philosophy or religion. Distributions from 13 BND and BNP are comprised of predominantly history 14 and arts, both with small clusters of social sciences. BNP uses all classes; BND does not. 16

17 5.0 Conclusions: functions of visual explorations18 and knowledge maps

In the beginning, we spoke of four functions that knowl-20 21 edge maps or visual explorations can have. What we have 22 seen now in greater detail is how statistical analysis and 23 visualization can be used to understand better the impact 24 of classification. Throughout this paper, we have demonstrated the power of empirical analysis of the UDC itself, 25 26 as well as the diverse population of it in different datasets. 27 We have seen that the growth of the UDC over the twen-28 tieth century parallels the evolution of knowledge in the 29 academic canon. We have seen that rather than reconstruct 30 main classes with potentially catastrophic revisions, the edi-31 tors of the UDC have preferred complex and ever more 32 granular evolution of special auxiliaries. And in evaluating 33 the population of the UDC, we have seen even more evi-34 dence of the cultural evolution of knowledge across time.

Empirical analysis supports questions from the science of science. How are the works a community relies upon

distributed in a disciplinary space? How can subject head-1

2 ings, UDC numbers, and other forms of KOSs be used to

3 determine how far the roots for a certain research topic

spread out, and therefore, what kind of reading one needs 4

5 to recommend to students? With Ginda and Börner we

have engaged such an analysis around the history of sci-6

ence dynamics itself, starting with a bibliography as a sam-7

8 ple. Smiraglia (2013, 2014a, 2014b) used deconstructed

elements of UDC strings to demonstrate their correlation 9 10 with bibliographic aspects of a collection such as data,

place of publication, and language and publisher, among 11

12 others.

The UDC, both as a curated complex language to ex-13 press concepts across languages, space, and time as well 14 15 as a KOS applied by expert cataloguers, invites further analysis. In particular, its network character still hides se-16 crets waiting to be unraveled. How can we interpret the 17 connection between some classes by some common aux-18 iliaries? Do compound UDC numbers, in actual application as well as in design, represent bridges between fields 20 and disciplines, travelling across concepts as we also see 21 in citation links in large scale science maps (see for exam-22 23 ple Klavans and Boyack 2009)?

In this paper, we discussed how using the UDC can 24 help us to shed light on the evolution and composition 25 of collections. We also showed that the UDC as a refer-26 ence system has changed in composition over time itself. 27 28 The deeper and more granular our analysis becomes, the 29 more we need to take into account that most of the UDC in use does not come with version numbers of the Mas-30 ter Reference File used. A forensic analysis of UDC use 31 32 in comparison to the UDC design process might shed light on the noise we have to take into account when ana-33 lyzing UDC use combining different editions. For future 34 application of the UDC in knowledge graphs that are 35 36 machine readable for the semantic web, keeping traces of the UDC's provenance becomes a must. For the retro-37 spective analysis of the UDC or other classifications in 39 collection use, there is still a wide territory to be explored before provenance can become a priority. 40

41 KOSs such as the UDC allow us in principle to gain overview about the content of collections, their main dis-42 ciplinary orientations, their roots in history, and their rich-43 ness in terms of interwoven concepts in the works they 44 carry. Parsing automatic traces from bibliographic records 45 is a careful business, which in turn, helps to better curate 46 the records themselves. Visualizations, knowledge maps as 47 shown in this paper, are of analytic use but can also be en-48 hanced toward "generous interfaces" (Whitelaw 2015), 49 showcasing the treasures in a collection but also comple-50 menting browsing through collections (Mutschke, May, and 51 Scharnhorst 2014). 52

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